

金融領域

Finance

「外國特定專業人才具有金融領域特殊專長之資格條件及認定原則」應備文件檢核表

資格條件 (115年1月1日公告生效)		認定原則	
		新申請案	延期/重新申請
依據外國專業人才延攬及僱用法第四條第二款外國特定專業人才具有金融領域特殊專長者，應符合下列條件之一：			
一、	曾經或現任於其他國家或於我國最近月薪達新臺幣十六萬元者	依申請人提供任職於金融相關產業之薪資證明予以認定。	依申請人提供任職於金融相關產業之薪資證明予以認定。
二、	於金融機構擔任專業職務，具傑出專業才能或有跨國經驗為我國亟需之人才	申請人提供於國內外金融機構擔任經理級職務累計達三年之證明文件，且取得相關重要國際性金融證照，如 CFA(Chartered Financial Analyst)、CFP(Certified Financial Planner)、FRM(Financial Risk Manager)、CIIA(Certified International Investment Analysts)、CIA(Certified Internal Auditor)、PRM(Professional Risk Manager)、FSA(Fellow of the Society of Actuaries)、FCAS(Fellow of the Casualty Actuarial Society)、FIA(Fellow of the Institute and Faculty of Actuaries)、FIAA(Fellow of the Institute of Actuaries of Australia)、SEI-KAIIN(Fellow of the Institute of Actuaries of Japan)等。	得免再重新上傳左列應備文件，由「外國專業人才申辦窗口平臺」自動帶入前核准案資料。
三、	金融監督管理委員會、所屬周邊單位及金融相關公(協)會所推薦者	金融監督管理委員會所屬周邊單位及金融相關公(協)會包括： 周邊單位： 台灣金融研訓院、財團法人金融聯合徵信中心、財金資訊股份有限公司、中華民國證券暨期貨市場發展基金會、保險事業發展中心等。 公(協)會： 中華民國銀行商業同業公會全國聯合會、中華民國票券金融商業同業公會、中華民國信託業商業同業公會、 <u>台灣金融服務業聯合總會</u> 、 <u>中華民國信用合作社聯合社</u> 、 <u>中華民國證券商業</u>	得免再重新上傳左列應備文件，由「外國專業人才申辦窗口平臺」自動帶入前核准案資料。

資格條件 (115年1月1日公告生效)		認定原則	
		新申請案	延期/重新申請
		<u>同業公會、中華民國期貨業商業同業公會、中華民國證券投資信託暨顧問商業同業公會、中華民國會計師公會全國聯合會、中華民國人壽保險商業同業公會、中華民國產物保險商業同業公會、中華民國保險代理人商業公會、中華民國保險經紀人商業同業公會等。</u>	
四、	金融機構現任或前任之董事長、總經理、董監事及重要資深主管級以上之管理階層人士	由申請人提供相關任職證明，其中重要資深主管指於金融機構擔任經理級以上之主管職務累計達五年者。	得免再重新上傳左列應備文件，由「外國專業人才申辦窗口平臺」自動帶入前核准案資料。
五、	政府推動之重點產業(如金融科技、電子商務、數位經濟、科技管理、綠能科技、資產管理等)所需之金融專業人才	申請人提供任職金融科技、電子商務、數位經濟、科技管理、綠能科技、資產管理(含聯合家族辦公室)等產業之金融相關職務累計達三年證明，並提出有利於產業發展具體事蹟。	得免再重新上傳左列應備文件，由「外國專業人才申辦窗口平臺」自動帶入前核准案資料。
六、	其他對我國金融產業具貢獻潛力者	由申請人檢具符合前點所列政府推動重點產業之學歷及經歷，足以認定對我國金融產業具貢獻潛力之相關證明文件，並經金融監督管理委員會審認核可者。	得免再重新上傳左列應備文件，由「外國專業人才申辦窗口平臺」自動帶入前核准案資料。

Required Qualifications for 'Foreign Specialist Professionals with Specific Financial Expertise' and Principles for Recognition of Qualifications

Required Qualifications		Principles for Recognition of Qualifications	
		New application	Extension/Renewal
According to subparagraph 2 of Article 4 of the Act for the Recruitment and Employment of Foreign Professionals, a “foreign specialist professional with specific financial expertise” shall meet one of the following required qualifications:			
1.	Has previously held or currently holds a position in a foreign state or in the R.O.C. with a most recent monthly salary of at least NT\$160,000.	An applicant shall provide documentary proof of their salary in the financial industry.	Based on proof of applicant’s salary in the financial industry.
2.	Has served in a professional position in a financial institution, and has outstanding professional ability or cross-border experience much-needed by the country.	An applicant shall provide documentary proof of having held a managerial position in domestic or foreign financial institutions for a total of three years, and shall hold relevant important international financial certificates, such as Chartered Financial Analyst (CFA), Certified Financial Planner (CFP), Financial Risk Manager (FRM), Certified International Investment Analysts (CIIA), Certified Internal Auditor (CIA), Professional Risk Manager (PRM), Fellow of the Society of Actuaries (FSA), Fellow of the Casualty Actuarial Society (FCAS), Fellow of the Institute and Faculty of Actuaries (FIA), Fellow of the Institute of Actuaries of Australia (FIAA), Fellow of the Institute of Actuaries of Japan (SEI-KAIIN), etc.	You do not need to re-upload the required documents seen on the left. The Foreign Professionals Online Application Platform will automatically show the required documents that were approved during your previous application.

Required Qualifications		Principles for Recognition of Qualifications	
		New application	Extension/Renewal
3.	Shall be recommended by the Financial Supervisory Commission (FSC), a financial industry self-regulatory organization, or a financial industry trade association.	<p>The "financial industry self-regulatory organizations" and "financial industry trade associations" referred to above include the following:</p> <p>(1) Self-regulatory organizations: Taiwan Academy of Banking and Finance, Joint Credit Information Center, Financial Information Service Co., Ltd., Securities and Future Institute, Taiwan Insurance Institute, etc.</p> <p>(2) Financial industry trade associations: The Bankers Association of the Republic of China, R.O.C. Bills Finance Association, <u>Trust Association of R.O.C.</u>, Taiwan Financial Services Roundtable, The National Federation of Credit Co-operatives R.O.C., Taiwan Securities Association, Chinese National Futures Association, Securities Investments Trust and Consulting Association of the R.O.C., The National Federation of Certified Public Accountants Associations of the Republic of China, The Life Insurance Association of the Republic of China, The Non-Life Insurance Association of the R.O.C., Insurance Agency Association of the Republic of China, National Taiwan Association of Insurance Brokering Companies, etc.</p>	You do not need to re-upload the required documents seen on the left. The Foreign Professionals Online Application Platform will automatically show the required documents that were approved during your previous application.
4.	Is a present or former chairman, general manager, director, supervisor, or important senior executive of a financial institution.	If the applicant's proof of employment indicates that they are/were an "important senior executive of a financial institution," note that this term as used herein means that the applicant has worked for a total	You do not need to re-upload the required documents seen on the left. The Foreign Professionals Online

Required Qualifications		Principles for Recognition of Qualifications	
		New application	Extension/Renewal
		of five years or more in a position(s) at the managerial level or higher at a financial institution(s).	Application Platform will automatically show the required documents that were approved during your previous application.
5.	Is a financial professional needed by key industries promoted by the government, such as financial technology, e-commerce, digital economy, technology management, green energy technology, and asset management (including multi-family offices), etc.	An applicant shall provide documentary proof that they have held a financial position(s) in a key industry for a total of three years, and shall describe concrete successes they have achieved that have been beneficial to the development of the industry.	You do not need to re-upload the required documents seen on the left. The Foreign Professionals Online Application Platform will automatically show the required documents that were approved during your previous application.
6.	Is otherwise a potential contributor to the country's financial industry.	An applicant shall provide relevant documents that demonstrate their academic qualifications and employment experience in key industries promoted by the government (as specified in the preceding point). These documents should be sufficient to support recognition that they have the potential to contribute to the country's financial industry and be reviewed and recognized by the FSC.	You do not need to re-upload the required documents seen on the left. The Foreign Professionals Online Application Platform will automatically show the required documents that were approved during your previous application.